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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/020,619
Filing Date	December 13, 2001
First Named Inventor	Kamakshi Sridhar
Group Art Unit	2661
Examiner Name	Not Assigned
Attorney Docket Number	135900

Total Number of Pages in This Submission 183**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Jessica W. Smith

Reg. No. 39,884

Signature

Date

July 16, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kamakshi Sridhar
Serial No.: 10/020,619
Filed: December 13, 2001
Title: Signaling for Congestion Control, Load Balancing, and Fairness in a Resilient Packet Ring

Docket: 135900
Art Unit: 2661
Examiner: Not Assigned

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.99, information relating to the above-identified application is hereby disclosed. Copies of each of the references listed on the attached Form PTO/SB/08A are enclosed. Certain of the references may contain markings, underlinings or other notations. These markings are not intended and should not be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references; but such markings were either present on the copies of the references obtained by Applicant, or were made thereon during the study of the references by Applicant and/or its attorneys.

The submission of any document herewith is not considered as an admission that such document constitutes prior art against the claims of the present application. No rights are waived hereby to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document that is determined to be a prima facie prior art reference against the claims of the present application.

Respectfully submitted,

Jessica W. Smith

Jessica W. Smith
Reg. No. 39,884
Attorney for Applicant(s)

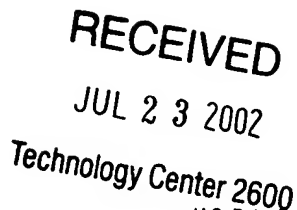
Dated: July 16, 2002

Alcatel USA
Intellectual Property Department
1000 Coit Road, M/S LEGL2
Plano, TX 75075
Phone: (972) 477-9109
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Certificate Under 37 C.F.R. §1.8(a)

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Melanie Murdock, Legal Asst.



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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/020,619		
		Filing Date	December 13, 2001		
		First Named Inventor	Kamakshi Sridhar		
		Group Art Unit	2661		
		Examiner Name	Not Assigned		
Sheet		of		Attorney Docket Number	135900

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	6	KUNZ, et al., Effective Bandwidth for Traffic Engineering, IEEE Workshop on High Performance Switching and Routing, 2001, pp. 119-123	
	7	FELDMANN, et al., NetScope: Traffic Engineering for IP Networks, IEEE Network, March-April 2000, pp. 11-19, Volume 14, Issue 2	
	8	JEON, et al., Call Admission Control for Mobile Multimedia Communications with Asymmetry Between Uplink and Downlink, IEEE Transactions on Vehicular Technology, January 2001, pp. 59-66, Volume 50, Issue 1	
	9	GEVROS, et al., Congestion Control Mechanisms and the Best Effort Service Model, IEEE Network, May-June 2001, pp. 16-26, Volume 15, Issue 3	
	10	KULKARNI, et al., Load Balancing with Multiple Token Policy, Seventh International Conference on Parallel and Distributed Systems, 2000, pp. 99-107	
	11	Conexant, SRP-Mac Overview; Tutorial on web site for IEEE 802.17 dated March 2000	
	12	HERRERA, et al., A Framework for IP Over Resilient Packet Rings, Internet Draft, IETF Working Group IP Over Resilient Packet Rings, June 2001	
	13	TSIANG, et al., The Cisco SRP MAC Layer Protocol, RFC 2892 IEFT, Network Working Group, August 2000	

Examiner Signature		Date Considered	
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